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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: March 30, 2010 <i>(use as many sheets as necessary)</i>				Complete if Known <table border="1"> <tr> <td>Application Number</td> <td>10/825,440 Reissue</td> </tr> <tr> <td>Filing Date</td> <td>4/14/2004</td> </tr> <tr> <td>First Named Inventor</td> <td>Bruce Bent</td> </tr> <tr> <td>Art Unit</td> <td>3693</td> </tr> <tr> <td>Examiner Name</td> <td>Patel, Jagdish</td> </tr> <tr> <td>Attorney Docket Number</td> <td>049212-0102</td> </tr> </table>		Application Number	10/825,440 Reissue	Filing Date	4/14/2004	First Named Inventor	Bruce Bent	Art Unit	3693	Examiner Name	Patel, Jagdish	Attorney Docket Number	049212-0102
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Art Unit	3693																
Examiner Name	Patel, Jagdish																
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
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	P2	2002/0082981-	06-27-2002	MADDEN, MARTIN P.	
	P3	2002/0087454-	07-04-2002	CALO ET AL.	
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UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS

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	P19	Canada Deposit Insurance Corporation, 1 page, (http://www.cdic.ca/e/index.html).	
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	P21	Deutsche Bank Insured Deposit Program, Marketing Literature 2007, 3 pages.	
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	P23	GARMHAUSEN, "Matching Small Banks with Large Muni Deposits," American Banker, Online The Financial Services Resource, October 4, 2005, 4 pages, http://www.finstar.com/docs/AmericanBanker.html .	
	P24	HENCKE, "New Rules for FDIC deposit Insurance", ABA Bank Compliance, Vol. 20, No. 7, July/August 1999, pp. 31-37.	
	P25	JONG et al., "The Valuation and Hedging of Variable Rate Savings Accounts," University of Amsterdam, November 15, 2001, 23 Sheets	
	P26	Lawsuit by Carlo DeBLASIO et al. against Merrill Lynch & Co., Inc. et al., Declaration of Andrew W. Stern, including Exhibits A, B, C, D, E and F, November 12, 2007, Case No. 07-cv-318 (RJS) (Document 59).	
	P27	Lawsuit by Carlo DeBLASIO et al. against Merrill Lynch & Co., Inc. et al., Declaration of Joel P. Laitman in Support of Plaintiffs' Memorandum of Law in Opposition to Defendants' Motion For Dismissal of the Second Amended Class Action Complaint, Including: "Client Commitment"; "Get Started Today"; "Total Merrill"; "Guideline For Business Conduct"; "Commitment To Clarity"; "Cash Management Account"; "Information Statement Regarding Changes To Interest Rates On Deposits in Merrill Lynch Banks",...	
	P28	Lawsuit by Carlo DeBLASIO et al. against Merrill Lynch & Co., Inc. et al., Declaration of Joel P. Laitman in Support of Plaintiffs' Memorandum of Law in Opposition to Defendants' Motion For Dismissal of the Second Amended Class Action Complaint, Including:...February 5, 2008 (Document 72)	
	P29	Lawsuit by Carlo DeBLASIO et al. against Merrill Lynch & Co., Inc. et al., Declaration of Joel P. Laitman in Support of Plaintiffs' Memorandum of Law in Opposition to Defendants' Motion For Dismissal of the Second Amended Class Action Complaint, Including:...February 5, 2008 (Document 73)	
	P30	Lawsuit by Carlo DeBLASIO et al. against Merrill Lynch & Co., Inc. et al., Declaration of Joel P. Laitman in Support of Plaintiffs' Memorandum of Law in Opposition to Defendants' Motion For Dismissal of the Second Amended Class Action Complaint, Including:...February 5, 2008 (Document 74)	

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	P31	Lawsuit by Carlo DeBLASIO et al. against Merrill Lynch & Co., Inc. et al., Declaration of Joel P. Laitman in Support of Plaintiffs' Memorandum of Law in Opposition to Defendants' Motion For Dismissal of the Second Amended Class Action Complaint, Including:...February 5, 2008 (Document 75)	
	P32	Lawsuit by Carlo DeBLASIO et al. against Merrill Lynch & Co., Inc. et al., Declaration of Kenneth I. Schacter, including Exhibits A, B, C, D, F, G, H, I, J, K, L, M, N, O, P, Q and R, November 14 2007, Case No. 07-cv-318 (RJS) (Document 69).	
	P33	Lawsuit by Carlo DeBLASIO et al. against Merrill Lynch & Co., Inc. et al., Declaration of Mathew J. Terry in Support of Motion to Dismiss by Defendants Wachovia Corporation, Wachovia Securities, LLC, Wachovia Bank, N.A., and Wachovia Bank of Delaware, N.A., including Exhibits A, B, C and D, November 14 2007, Case No. 07-318 (RJS) (Document 67).	
	P34	Lawsuit by Carlo DeBLASIO et al. against Merrill Lynch & Co., Inc. et al., Declaration of Scott D. Musoff in Support of The Merrill Lynch Defendants' Motion to Dismiss The Second Amended Class Action Complaint, ECF Case, November 12 2007, Case No. 07-cv-318 (RJS) (Document 64).	
	P35	Lawsuit by Carlo DeBLASIO et al. against Merrill Lynch & Co., Inc. et al., Reply Declaration of Kenneth Schacter including Exhibits S and T, March 6, 2008, Case No. 07-cv-318 (RJS) (Document 81).	
	P36	Lawsuit by Carlo DeBLASIO et al. against Merrill Lynch & Co., Inc. et al., Second Amended Class Action Complaint, Jury Trial Demanded, Introduction and Summary of Allegations, June 11, 2007, Case No. 07-cv-318-VM.	
	P37	Lawsuit by Carlo DeBLASIO et al. against Merrill Lynch & Co., Inc. et al., Supplemental Declaration of Matthew J. Terry in Support of Motion to Dismiss by Defendants Wachovia Corp., Wachovia Securities, LLC, Wachovia Bank N.A., and Wachovia Bank of Delaware, N.A., including Exhibits A and B, March 6, 2008, Case No. 07-cv-318 (RJS) (Document 79).	
	P38	Lawsuit by Carlo DeBlasio, et al. against Merrill Lynch & Co., Inc., et al., Opinion and Order Regarding Motions, July 27, 2009, Case No. 07 CIV 318(RJS).	
	P39	Lawsuit by Island Intellectual Property LLC and Intrasweep LLC against Institutional Deposits Corp., Complaint for Patent Infringement, Jury Trial Demanded, November 4, 2009, Civil Action No. 09 CV 3079.	
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	P41	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, Double Rock Corporation and Intrasweep LLC against Deutsche Bank AG, Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, Stipulated Dismissal of Deutsche Bank AG Without Prejudice, November 19, 2009, Civil Action No. 09 CIV 2675 (VM) (AJP) (Document 79).	

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	P42	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, Double Rock Corporation and Intrasweep LLC against Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, Deutsche Bank Trust Company Americas' First Amended Answer to Consolidated First Amended Complaint and Counterclaims, December 4, 2009, Civil Action No. 09 CIV 2675 (VM) (AJP), (Document 86).			
	P43	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, Double Rock Corporation and Intrasweep LLC against Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, Total Bank Solutions, LLC's First Amended Answer to Consolidated First Amended Complaint and Counterclaims December 4, 2009, Civil Action No. 09 CIV 2675 (VM) (AJP) (Document 87).			
	P44	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, Double Rock Corporation and Intrasweep LLC against Promontory Interfinancial Network LLC, MBSC Securities Corporation, Deutsche Bank AG, Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, Stipulated Dismissal of Counts I-III of Defendant Promontory Interfinancial Network, LLC's, Counterclaim with Prejudice, October 19, 2009, (Document 68).			
	P45	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, Double Rock Corporation and Intrasweep LLC against Promontory Interfinancial Network LLC, MBSC Securities Corporation, Deutsche Bank AG, Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, Stipulation and Order, October 29, 2009, Case No. 09 CV 2675 (VM) (AJP) (Document 73).			
	P46	Letter to William R. Burdette, CEO, April 6, 2009, FDIC, Federal Deposit Insurance Corporation, 2 pages.			
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	P48	Mutual Fund Dealers Association, 1 page, (http://www.mfda.ca/)			
	P49	Total Bank Solutions, Bank Sweep FAQs http://www.totalbanksolutions.com/sweepbnkfaqs.htm , September 23, 2005, 2 pages.			
	P50	Total Bank Solutions, Bank Sweep FAQs, http://www.totalbanksolutions.com/sweepbnkfaqs.htm , November 2, 2005, 3 pages.			
	P51	Total Bank Solutions, Bank Sweep Products, Deutsche Bank, http://www.totalbanksolutions.com/banksweep.htm , September 23, 2005, 1 page.			
	P52	Total Bank Solutions, Bank Sweep Products, http://www.totalbanksolutions.com/banksweep.htm , Appendix 3, October 18, 2005, 2 pages.			

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	P53	Total Bank Solutions, Bank Sweep Products, http://www.totalbanksolutions.com/banksweep.htm , November 2, 2005, 2 pages.		
	P54	Total Bank Solutions, Brokerage Sweep FAQs, http://www.totalbanksolutions.com/brokerfaqs.htm , November 2, 2005, 3 pages.		
	P55	Total Bank Solutions, Brokerage Sweep, http://www.totalbanksolutions.com/brokersweep.htm , November 2, 2005, 1 page.		
	P56	Total Bank Solutions, Deposit Bank FAQs, http://www.totalbanksolutions.com/depositbnkfaqs.htm , November 2, 2005, 2 pages.		
	P57	Total Bank Solutions, Deposits, Deutsche Bank, http://www.totalbanksolutions.com/deposits.htm , September 23, 2005, 1 page.		
	P58	Total Bank Solutions, Deposits, http://www.totalbanksolutions.com/deposits.htm , November 2, 2005, 2 pages.		
	P59	Total Bank Solutions, Deutsche Bank Insured Deposit Program, DB Insured Deposit Program Features, http://www.totalbanksolutions.com/features.htm , September 23, 2005, 2 pages.		
	P60	Total Bank Solutions, Deutsche Bank Insured Deposit Program, http://www.totalbanksolutions.com/ , September 23, 2005, 1 page.		
	P61	Total Bank Solutions, http://www.totalbanksolutions.com/ , March 16, 2007, 8 pages.		
	P62	Total Bank Solutions, http://www.totalbanksolutions.com/overview.htm , November 2, 2005, 1 page.		
	P63	Total Bank Solutions, Insured Deposit Program Features, http://www.totalbanksolutions.com/features.htm , November 2, 2005, 2 pages.		
	P64	Total Bank Solutions, Insured Deposit Program, http://www.totalbanksolutions.com/Insureddeposits.htm , November 2, 2005, 2 pages.		
	P65	Total Bank Solutions, Partners & Affiliates, http://www.totalbanksolutions.com/partners.htm , November 2, 2005, 3 pages.		

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	P66	Total Bank Solutions, Partners & Affiliates, http://www.totalbanksolutions.com/partners.htm , October 25, 2005, 3 pages.		
	P67	Total Bank Solutions, Strategic Partners, November 2, 2005, 1 page.		
	P68	Total Bank Solutions, TBS Deposit Account, About Our Broker Products, http://www.totalbanksolutions.com/brokerproducts.htm , September 7, 2005, 2 pages.		
	P69	Total Bank Solutions, TBS Management Team, http://www.totalbanksolutions.com/management.htm , Appendix 1, October 18, 2005, 1 page.		
	P70	Total Bank Solutions, TBS Management Team, http://www.totalbanksolutions.com/management.htm , November 2, 2005, 2 pages.		
	P71	Total Bank Solutions, TBS Management Team, http://www.totalbanksolutions.com/management.htm , October 25, 2005, 2 pages.		
	P72	TotalBank Solutions, TBS Bank Deposit Account, October 2004, 6 pgs.		
	P73	TotalBank Solutions, web.archive.org/web/20050126044216/http://totalbanksolutions.com , January 26, 2005, 2 pgs.		
	P74	USA Mutual Partners Insured Cash Shelter Account Terms and Conditions, 11 pages, 2009 USA Mutuals Partners, Inc.		
	P75	Wachovia Securities, Important Information for Clients Concerning Changes in Automatic "Sweep" Arrangements, October 1, 2003, 6 sheets.		

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